



## A.S.K. SERVICES INTL LTD. AML POLICY

Original Issue Date:	May 7 <sup>TH</sup> , 2024
Approved by:	Board of Directors
Last Revision Date:	May 7 <sup>TH</sup> , 2026
Next Review Date:	May 7 <sup>TH</sup> , 2027
Version:	1.0

A.S.K. Services INTL Ltd. (hereinafter the “Company”) collects and verifies the personal identification information of all account holders, and then subsequently logs and tracks the transactions that are carried out by its clients. In order to open an account with The Company, a valid form of government-issued identification (Passport, State ID, or Driver's License), a proof of residence, and bank account information is required, along with a completed account application.

It should be noted that The Company tracks any suspicious transactions made by clients and any transactions executed under non-standard trading conditions.

- 
- The Company carries out its activities in line with the anti-money laundering framework as laid out by the Financial Action Task Force.
  - All The Company client funds are held in separate, segregated accounts that are designated solely for client deposits and withdrawals.
  - The Company monitors funding from bank accounts held outside the account holder's home country.
  - The Company does not accept deposits from third-parties or disbursements under any circumstances.
  - The Company matches each deposit to the account name held on file for that customer.
  - The Company reserves the right to refuse to process a transaction where it believes the transaction to be connected in any way to money laundering or criminal activity. In



accordance with international law, The Company is under no obligation to inform the client when suspicious activity is reported to any corresponding regulatory or legal bodies.

---

### **Account Opening Procedures - Know Your Customer Policy (KYC)**

As part of the account opening procedure, prospective customers must provide proof of identity and address, source of funds and investment objectives to The Company.

A.S.K. Services INTL Ltd. is incorporated and operating in accordance with the Business Companies Act 2022, with the organizational and legal form – a limited liability company as international business companies (hereinafter referred to as “IBC”). The relevant law is contained in the Company Management Act of the Revised Statutes of Anguilla C75) and the Business Companies Act 2022 of Anguilla.

The “Financial Action Task Force” (FATF) sets AML policies for countries to follow. The Company is required to do an “Enhanced Due Diligence” on clients from the others as they are classed as High Risk by the FATF, thus, we cannot accept clients from The United States, Australia, New Zealand, Canada, Iran, Iraq, North Korea, Japan, USA, Cuba, Syria, Sudan, Afghanistan, Guyana, Lao People’s Democratic Republic, Uganda, Yemen, Venezuela and Puerto Rico, or any other Countries listed to FATF and MONEYVAL

### **Account Opening Requirements:**

A.S.K. Services INTL Ltd. is committed to the prevention of money laundering activities through our services and as such comply with regulatory requirements such as:

- Identifying our clients;
- Identifying, monitoring and reporting any kind of suspicious transactions;
- Maintaining transaction records for minimum 5 years after the termination of our contractual relationships with our clients;
- Training our staff to recognize suspicious transactions and to fulfil all reporting obligations;
- Depending on client location, report any suspicious activities to authorities in several countries.”

**Photo ID** - The following are acceptable forms of photo identification:

***Risk Warning:** CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage.*

***Between 74-89 % of retail investor accounts lose money when trading CFDs.***

*You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.*



- A valid passport
- A valid National Identity Card
- A valid government ID, such as a Driver's Licence or State ID

**Proof of Residence** - The following are acceptable forms of proof of residence:

- A utility or phone bill
- A bank statement

*(Please note that proof of residence must be current i.e. not older than 90 days)*

### **Bank Account Requirements**

- The Company account holders must have a valid bank or credit card account in their name. The bank/credit card statement must show their name and the same registered address as that shown on their application.

---

### **Funds Withdrawal Procedure**

The withdrawal procedure at The Company detailed below follows the strict principle that ensures funds are securely remitted back to their originating source.

- The Company customers must complete a signed paper or digital withdrawal request containing inter alia, their correct account information.
- The withdrawal form must be submitted to the Company Finance/Accounting department for processing. The Finance/Accounting department will confirm the account balance, verify that there are no holds or withdrawal restrictions on the account, and then approve the withdrawal request, pending compliance approval.
- A.S.K. Services INTL Ltd. compliance department reviews all withdrawal requests approved by the Finance/Accounting department, verifying that the original funds are being withdrawn through the same method of deposit and to the name of the account holder on file.
- The Compliance department will also examine the withdrawal request against the customer's deposit history to ensure there is no suspicious activity, and if none verifies the bank account details held on file.
- Withdrawal requests approved by the Company Compliance department are sent back to the Finance/Accounting department, and the funds are released to the client.



- In the event that a withdrawal is flagged for suspicious activity, the withdrawal is placed on hold, pending further investigation by The Company management.
- The Company Management will decide if further action is needed and which, if any, relevant regulatory bodies need to be contacted.

---

## Compliance Footnote

The compliance procedure related to AML listed above is only a brief summary of the compliance function guidelines followed by the Company compliance team. A detailed copy of our compliance policy is available for qualified institutions, regulatory bodies, and related counterparties. For additional questions concerning The Company policies, please contact our Compliance department. No substitutions or modifications will be accepted to the requirements above. The Company Compliance department reserves the final determination regarding the validity of these documents.

Clients should assume that all information provided to the Company is available to the competent regulatory authorities in (a) the Country of Incorporation of the Company; (b) the Country of Origin of any funds transmitted to The Company; and (c) the destination Country of any funds refunded by or withdrawn from the Company.

The Company does not want your business if your funds are sourced from criminal activities or if the nature of your account transactions is illegal in any manner whatsoever.

The Company reserves the right to review and/or amend its Money Laundering Prevention Policy, at its sole discretion, whenever it deems fit or appropriate.

Our AML Policy is a policy only, it is not part of our Terms and Conditions of Business and is not intended to be contractually binding.